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PATENT  
Attorney Docket No. 86339-0002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

George M. HAY

Application No.: 10/067,976

Filed: February 8, 2002

For: SYSTEM AND METHOD FOR  
THE DELIVERY OF  
ELECTRONIC BOOKS

) Art Unit: Unassigned  
)  
) Examiner: Unassigned  
)  
)  
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**Technology Center 2100**

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of each of the listed documents is submitted herewith.

This information disclosure statement is being filed within one of the following time periods: within three months of the filing date of this application other than a continued prosecution application, or within three months of the date of entry into the national stage of this international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art." If the Examiner applies the documents as "prior art" against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

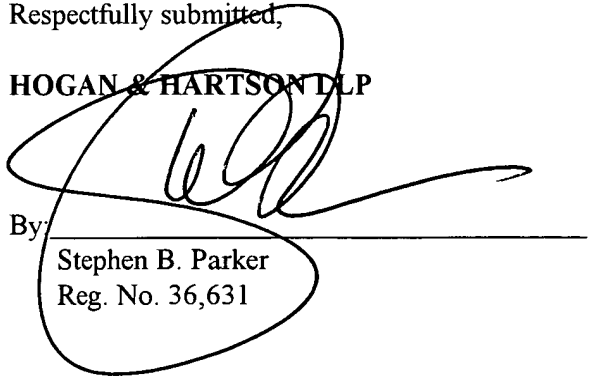
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

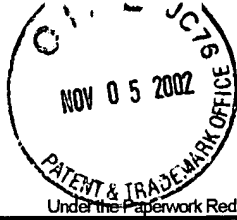
Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Dated: November 4, 2002

**HOGAN & HARTSON LLP**  
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Respectfully submitted,  
**HOGAN & HARTSON LLP**

By   
Stephen B. Parker  
Reg. No. 36,631



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	10/067,976
Filing Date	February 8, 2002
First Named Inventor	George M. HAY
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	86339-0002

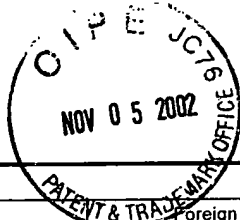
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### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Date of Patent MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	*	US 5,477,510	12/19/95	Ukita	
	*	US- 5,500,919	03/19/96	Luther	
	*	US- 5,534,888	07/09/96	Lebby et al	
	*	US- 5,572,625	11/05/96	Raman et al	
	*	US- 5,575,659	11/19/96	King et al	
	*	US- 5,663,748	09/02/97	Huffman et al	
	*	US- 5,687,144	11/11/97	Nishizawa	
	*	US- 5,697,793	12/16/97	Huffman et al	
	*	US- 5,749,735	05/12/98	Redford et al	
	*	US- 5,758,320	05/26/98	Asano	
	*	US- 5,761,485	06/02/98	Munyan	
	*	US- 5,769,642	06/23/98	Shinohara	
	*	US- 5,820,379	10/13/98	Hall et al	
	*	US- 5,822,284	10/13/98	Nishizawa	
	*	US- 5,850,629	12/15/98	Holm et al	
	*	US- 5,890,117	03/30/99	Silverman	
	*	US- 5,893,132	04/06/99	Huffman et al	
	*	US- 5,897,324	04/27/99	Tan	
	*	US- 5,903,867	05/11/99	Watari et al	
	*	US- 5,957,697	09/28/99	Iggulden et al	
	*	US- 5,986,690	11/16/99	Hendricks	
	*	US- 5,991,594	11/23/99	Froeber et al	
	*	US- 6,052,663	04/18/00	Kurzweil et al	
	*	US- 6,056,551	05/02/00	Marasco	
	*	US- 6,064,380	05/16/00	Swenson et al	
	*	US- 6,076,060	06/13/00	Lin et al	
	*	US- 6,081,780	06/27/00	Lumelsky	
	*	US- 6,154,757	11/28/00	Krause et al	
	*	US- 6,205,427 B1	03/20/01	Itoh et al	



FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					
	.	JP 59-178639		09/10/84	Toshiatsu et al	RECEIVED NOV 06 2002 Technology Center 2100	Abstract
	.	JP 02-309390		12/25/90	Takashi		Abstract
	.	JP 06-308992		04/11/94	Hiroshi		Abstract
	.	JP 62515171		09/09/94	Hiroshi		Abstract
	.	WO 9710541		03/20/97	Petruzzi et al		Abstract
	.	JP 9265470		10/07/97	Yamazaki		Abstract
	.	JP 10011468		01/16/98	Terajima		Abstract
	.	JP 2000112857		04/21/00	Sano et al		Abstract

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B(10-01)  
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Sheet

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of

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Attorney Docket Number	86339-0002

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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	•	COPYRIGHT INDUSTRY CANADA, Digitization of the Book: A Report on Present Trends, see ( <a href="http://www.schoolnet.ca">http://www.schoolnet.ca</a> )	
	•	DENNIS P. DEVENDRA/Features and Benefits for the Interface of the Next Generation Digital Talking Book	
	•	ZD Net Downloads, Text to Mp <sup>3</sup> ( <a href="http://www.zdnet.com">http://www.zdnet.com</a> )	
	•	B.T. KIMBROUGH/Daisy on Our Desktops? A Review of LpPlayer 2.4 ( <a href="http://www.rit.edu.com">http://www.rit.edu.com</a> )	
	•	SHERYL L. DAY AND BARBARA J. EDWARDS/Assistive Technology for Postsecondary Students with Learning Disabilities/ Journal of Learning Disabilities 29(5): 486-492 ( <a href="http://www.rid.org">http://www.rid.org</a> )	
	•	Your Computer Will Talk to You, About.com ( <a href="http://www.about.com">http://www.about.com</a> )	

Examiner Signature		Date Considered	
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